

**PRODUCT OVERVIEW** 

# Six Degrees Regulatory Data Management Solution for MiFID II and beyond

Capture, encrypt, store and recall any type of multimedia communication data in a single pané of glass hierarchical view, all in real time.



## What is our Regulatory Data Management Solution (Soteria<sup>™</sup>)?

Fully managed, modular and scalable, Soteria™ (powered by Insightful Technology), is a cost-effective, out of the box data management solution. It enables all digital and multimedia communications to be securely captured, analysed and stored in real time, in a structured way, coming directly from source systems, driving global compliance and enterprise agility.

Recalling and presenting all data for analysis in a single pane of glass view, Soteria™ drives efficiency. With it, businesses are also able to respond to a case reconstruction request within a matter of minutes, without any data leaving your jurisdiction.

# Scalable and cost-effective for any size business

A flexible pay per user, per month model allows Soteria™ to meet any SME or Large Enterprise's data capture, compliance and efficiency requirements. No extra licence fees or storage costs either.





















#### Take Control of Your Communications

- Manage your retention policies for multiple data types individually or for all data types for a specific employee.
- Adapt to meet changes in their organisation policies or relevant legal or regulatory changes.
- Reconstruct case files from all data within 24 hours when required.
- Data compression and archiving in accordance with your retention policies, while maintaining full searchability.
- Manage everything from any location using our intuitive central administration centre.

## Don't just be compliant, Be efficient!

Soteria<sup>™</sup> services 9 of the top 12 global banks for:









**Dodd-Frank** 



and more!

## Stay Compliant Globally

Soteria™ is designed to enable compliance with:

- BSI BIP 0008 A Code of Practice on the Legal Admissibility of Information Stored in Electronic Document Management Systems
- ISO TR 15801 Electronic imaging Information stored electronically
- BSI DISC PD 5000 An international code of good practice in five parts for electronic documents and e-commerce transactions as legally admissible evidence
- Financial Supervisory Authority (Norway)
- Financial Conduct Authority (UK)
- Dodd-Frank Act (USA)
- MiFID II (EU)

#### **Key Features**

Soteria™ gives you all of these features below and more:

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Real Time Capture	Of any data type, at source, including 3 <sup>rd</sup> party market abuse software data.
Full Data Encryption	In addition to a digitally signed audit trail for all objects, in addition to user and system activity.
Third Party Certification	Of data providing a complete set of tamper-proof records for duty of care.
Resilient Secure Storage	In our ISO certified, Tier IV cloud or, alternatively, on premise, with no integration required.
Archive	To set retention policies based on any location and specified duration with end-of-life auto-deletion.
Keyword Search and Retrieval	Of all metadata and objects, as a powerful global lookup via a single pane of glass view.
Built-in Permission Hierarchy	To manage an individual user's view(s) of globally stored data.
Immediate Case Reconstruction	By collating data in a file for further analysis, with time-lapse password access.
Legal Hold Data Protection	To prevent auto-deletion of objects on "Legal Hold".

## Multi-channel data capture

Soteria<sup>™</sup> can give you a single, secure view across multiple channels including:

- Fixed line and mobile voice
- SMS
- Email
- Social media, including Facebook, Twitter and LinkedIn
- Files and folders
- Video
- Webmail
- Skype for Business
- Instant messaging
- Trade feeds including Bloomberg and Reuters



# Let us help you

Six Degrees offers you Soteria™ to tick that Compliance box for MiFID II and more. It's just one solution from our compelling product portfolio structured and designed to solve your business needs. With incredible support from our passionate in-house engineers alongside an innovative offering to create the solution that you need, we are happy to help.

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